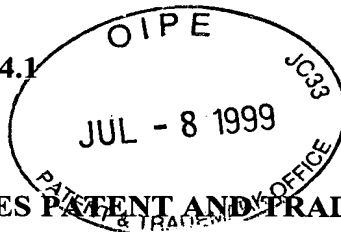


DOCKET NO.: MSFT-0035/127334.1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Marcus PEINADO, Rajasekhar ABBURI,  
Arnold N. BLINN, Thomas C. JONES,  
John L. MANFERDELLI, Jeffrey R.C.  
BELL, Ramaranthnam VENKATESAN,  
Paul ENGLAND, Mariusz H.  
JAKUBOWSKI, Hai Ying (Vincent) YU

**Serial No.:** 09/290,363

**Group No.:** 2766

**Filing Date:** April 12, 1999

**Examiner:** Not Yet Assigned

**For: ENFORCEMENT ARCHITECTURE AND METHOD FOR DIGITAL  
RIGHTS MANAGEMENT**

DATE OF DEPOSIT: July 6 1999

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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Steven H. Meyer  
TYPED NAME: Steven H. Meyer  
REGISTRATION NO.: 37,189

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

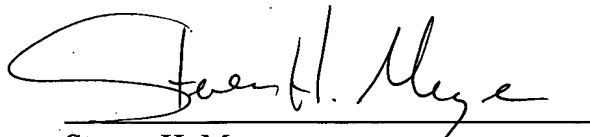
In response to the "Notice to File Missing Parts of Application--Filing Date Granted"  
dated May 3, 1999, a response to which is due July 6, 1999, enclosed herewith for filing is:

- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL066387735US on April 12, 1999.
- ☒ An Associate Power of Attorney is also enclosed.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Verified Statement previously submitted on .
- ☐ The original executed Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 is filed herewith.
- ☐ A Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on @@ . A copy of this Verified Statement Claiming Small Entity Status form and the Request for Refund form is enclosed for your convenience.
- ☒ A check in the amount of \$130.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date:

July 6 1999



Steven H. Meyer  
Registration No. 37,189

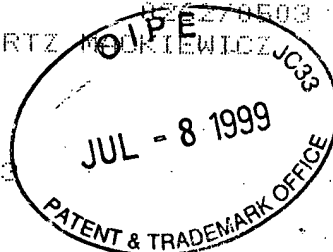
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/290,363	04/12/99	PEINADO	MSFT-0035

WOODCOCK WASHBURN KURTZ  
AND NORRIS  
ONE LIBERTY PLACE  
46TH FLOOR  
PHILADELPHIA PA 19103



NOT ASSIGNED

2766

DATE MAILED: 05/03/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☒ large entity ☐ small entity (verified statement filed), is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☒ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

07/09/1999 SOURCE: 00000036 0929033 01 FC:105